

**UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA**

Kelly Marie Engebretson,

Civil File No. 14-cv-1435 (ADM/FLN)

Plaintiff,

v.

**STIPULATION FOR PROTECTIVE
ORDER**

Aitkin County, *et al.*

Defendants.

Upon stipulation of the parties for an order pursuant to Fed. R. Civ. P. 26(c) that confidential information be disclosed only in designated ways:

1. As used in the Protective Order, these terms have the following meanings:

- a) "Attorneys" means counsel of record;
- b) "Confidential" documents are documents designated pursuant to paragraph 2;
- c) "Documents" are all materials within the scope of Fed. R. Civ. P. 34;
- d) "Outside Vendors" means messenger, copy, coding, and other clerical-services vendors not employed by a party or its Attorneys; and
- e) "Written Assurance" means a signed document in the form attached as Exhibit A.

2. A Party may designate a document "Confidential" to protect information within the scope of Fed. R. Civ. P. 26(c).

3. All Confidential documents, along with the information contained in the documents, shall be used solely for the purpose of this action, and no person receiving

such documents shall, directly or indirectly, use, transfer, disclose, or communicate in any way the documents or their contents to any person other than those specified in paragraph 4. Any other use is prohibited.

4. Access to any Confidential document shall be limited to:

- a) the Court and its staff;
- b) Attorneys, their law firms, and their Outside Vendors;
- c) persons shown on the face of the document to have authored or received it;
- d) court reporters retained to transcribe testimony;
- e) the parties;
- f) outside independent persons (*i.e.*, persons not currently or formerly employed by, consulting with, or otherwise associated with any party) who are retained by a party or its Attorneys to provide assistance as mock jurors or focus group members or the like, or to furnish technical or expert services, and/or to give testimony in this action; and
- g) adjusters and appropriate representatives of the Defendant entity and their insurers, reinsurers or self-insurers.

5. Third parties producing documents in the course of this action may also designate documents as "Confidential", subject to the same protections and constraints as the parties to the action. A copy of the Protective Order shall be served along with any subpoena served in connection with this action. All documents produced by such third parties shall be treated as "Confidential" for a period of 14 days from the date of their

production, and during that period any party may designate such documents as "Confidential" pursuant to the terms of the Protective Order.

6. Each person appropriately designated pursuant to paragraph 4(f) to receive Confidential information shall execute a "Written Assurance" in the form attached as Exhibit A. Opposing counsel shall be notified at least 14 days prior to disclosure to any such person who is known to be an employee or agent of, or consultant to, any competitor of the party whose designated documents are sought to be disclosed. Such notice shall provide a reasonable description of the outside independent person to whom disclosure is sought sufficient to permit objection to be made. If a party objects in writing to such disclosure within 14 days after receipt of notice, no disclosure shall be made until the party seeking disclosure obtains the prior approval of the Court or the objecting party.

7. All depositions or portions of depositions taken in this action that contain confidential information may be designated "Confidential" and thereby obtain the protections accorded other "Confidential" documents. Confidentiality designations for depositions shall be made either on the record or by written notice to the other party within 14 days of receipt of the transcript. Unless otherwise agreed, depositions shall be treated as "Confidential" during the 14-day period following receipt of the transcript. The deposition of any witness (or any portion of such deposition) that encompasses Confidential information shall be taken only in the presence of persons who are qualified to have access to such information.

8. Any party who inadvertently fails to identify documents as "Confidential" shall, promptly upon discovery of its oversight, provide written notice of the error and substitute appropriately-designated documents. Any party receiving such improperly-designated documents shall retrieve such documents from persons not entitled to receive those documents and, upon receipt of the substitute documents, shall return or destroy the improperly-designated documents.

9. If a party files a document containing Confidential information with the Court, it shall do so in compliance with the Electronic Case Filing Procedures for the District of Minnesota. The parties are advised that designation by a party of a document as protected pursuant to the terms of this Order cannot be used as the sole basis for filing the document under seal in connection with either trial or a nondispositive, dispositive, or trial related motion. Only those documents and portions of a party's submission, or any part thereof, which otherwise meets requirements for protection from public filing (including, but not limited to, a statute, rule or regulation prohibiting public disclosure, or protection under the attorney-client privilege or work product doctrine, or the standards for protection set forth in Fed. R. Civ. P. 26(c)), as first determined by the Court upon motion and a showing of good cause, shall be filed under seal. If a party intends to file with the Court a document designated by another party as protected pursuant to the terms of this Order, then that filing party shall provide reasonable advance notice to the designating party of such intent so that the designating party may determine whether or not they should bring a motion before the Court which seeks to require the protected documents to be filed under seal. Any party which seeks to assert that a document should

be filed with the Court under seal shall have the burden of demonstrating that the document should be filed under seal.

10. Any party may request a change in the designation of any information designated "Confidential". Any such document shall be treated as designated until the change is completed. If the requested change in designation is not agreed to, the party seeking the change may move the Court for appropriate relief, providing notice to any third party whose designation of produced documents as "Confidential" in the action may be affected. The party asserting that the material is Confidential shall have the burden of proving that the information in question is within the scope of protection afforded by Fed. R. Civ. P. 26(c).

11. The parties shall not withhold from their initial disclosures, expert disclosures, pretrial disclosures, or discovery answers or responses any data on the ground that the data are classified as "not public data" under the Minnesota Government Data Practices Act, Minn. Stat. ch. 13. *See Her v. Paulos*, No. 11-808, 2012 WL 6634777, at *5-6 (D. Minn. Dec. 20, 2012); *Scheffler v. Molin*, No. 11-3279, 2012 WL 329894, at *4 (D. Minn. Aug. 10, 2012). Except as to any public employee, the following information and records may be withheld from production: home addresses, home phone numbers, photographs of the employee, personal financial information, birth certificates, driver's license records, information regarding the employee's family life, juvenile records, fingerprinting records, records protected by Minn. Stat. § 13.43, subd. 5 (undercover law enforcement) and 13.82, subd. 17 (protection of identities), subd. 19 (data in arrest warrant indices), subd. 8 (child abuse identity data); subd. 9 (inactive child abuse data) and subd. 11

abuse data) and subd. 11 (inactive vulnerable adult maltreatment data), medical and psychological data and social security numbers for the individually-named employee.

12. Within 60 days of the termination of this action, including any appeals, each party shall either destroy or return to the opposing party all documents designated by the opposing party as "Confidential", and all copies of such documents, and shall destroy all extracts and/or data taken from such documents. Each party shall provide a certification as to such return or destruction within the 60-day period. However, Attorneys shall be entitled to retain a set of all documents filed with the Court and all correspondence generated in connection with the action.

13. Any party may apply to the Court for a modification of the Protective Order, and nothing in this Protective Order shall be construed to prevent a party from seeking such further provisions enhancing or limiting confidentiality as may be appropriate.

14. No action taken in accordance with the Protective Order shall be construed as a waiver of any claim or defense in the action or of any position as to discoverability or admissibility of evidence.

15. The obligations imposed by the Protective Order shall survive the termination of this action.

Dated: 5/24/15

SAPIENTIA LAW GROUP PLLC

s/Jonathan A. Strauss

Jonathan A. Strauss (#0279602)
Lorenz F. Fett (#196769)
Sonia Miller-Van Oort (#278087)
12 South Sixth Street, Suite 1242
Minneapolis, MN 55402
(612) 756-7100
jons@sapientialaw.com
larryf@sapientialaw.com
soniamv@sapientialaw.com

Dated: _____

SIEBEN CAREY, PA

Susan M. Holden, #189844
Jeffrey M. Montpetit, #0291249
Marcia K. Miller, #032162X
901 Marquette Avenue, Suite 500
Minneapolis, MN 55402-3205
Phone: 612-333-4500
Fax: 612-333-5970
Susan.Holden@knowyourrights.com
Jeffrey.Montpetit@knowyourrights.com
Marcia.Miller@knowyourrights.com

ATTORNEYS FOR PLAINTIFF

Kelly Marie Engebretson v. Aitkin County, et al.
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Dated: 3/24/15

IVERSON REUVERS CONDON

s/Susan Tindal
Jon K. Iverson (#146389)
Susan Tindal (#330875)
Stephanie A. Angolkar (#388336)
9321 Ensign Avenue South
Bloomington, MN 55438
952-548-7200
jon@irc-law.com
susan@irc-law.com
stephanie@irc-law.com

**ATTORNEYS FOR DEFENDANT
CITIES BLOOMINGTON, COON
RAPIDS AND MOUNDS VIEW**

Dated: 3/24/15

JARDINE, LOGAN & O'BRIEN, PLLP

s/Jamie Jonassen
Joseph E. Flynn (#165712)
Jamie L. Jonassen (#0391745)
8519 Eagle Point Boulevard
Lake Elmo, MN 55042-8624
Phone: (651) 290-6500
Fax: (651) 223-5070
jflynn@jlolaw.com
jjonassen@jlolaw.com

**ATTORNEYS FOR DEFENDANTS
OTTER TAIL AND PINE COUNTIES**

Kelly Marie Engebretson v. Aitkin County, et al.
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Dated: 3/24/15

SUSAN L. SEGAL
Minneapolis City Attorney

s/C. Lynne Fundingsland
C. Lynne Fundingsland (#32712)
Darla Boggs (#314912)
Assistant City Attorneys
Minneapolis City Attorney's Office
350 South 5th Street, Room 210
Minneapolis, MN 55415
(612) 673-3919
c.lynne.fundingsland@minneapolismn.gov
darla.boggs@ci.minneapolis.mn.us

ATTORNEYS FOR DEFENDANT
CITY OF MINNEAPOLIS

EXHIBIT A

WRITTEN ASSURANCE

declares that:

I reside at _____ in the City of _____, County of _____, State of _____. My telephone number is _____.

I am currently employed by _____, located at _____, and my current job title is _____.

I have read and I understand the terms of the Protective Order dated _____, filed in Case No. 14-cv-1435, pending in the United States District Court for the District of Minnesota. I agree to comply with and be bound by the provisions of the Protective Order. I understand that any violation of the Protective Order may subject me to sanctions by the Court.

I shall not divulge any documents, or copies of documents, designated "Confidential" obtained pursuant to such Protective Order, or the contents of such documents, to any person other than those specifically authorized by the Protective Order. I shall not copy or use such documents except for the purposes of this action and pursuant to the terms of the Protective Order.

As soon as practical, but no later than 30 days after final termination of this action, I shall return to the attorney from whom I have received them, any documents in my

possession designated "Confidential", and all copies, excerpts, summaries, notes, digests, abstracts, and indices relating to such documents.

I submit myself to the jurisdiction of the United States District Court for the District of Minnesota for the purpose of enforcing or otherwise providing relief relating to the Protective Order.

Executed on _____
(Date)

_____ (Signature)